## CERTIFICATE OF MAILING

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**PATENT** 

Attorney Docket No. 39780-2630P1C10

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication Inventor(s): Avi Ashkenazi et al.

Application No.: 09/978,194

Filed: October 15, 2001

Title Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding The Same

PATENT APPLICATION

Art Unit: 1646

Examiner: To Be Assigned

TECH CENTER 1800,2800

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information and an the statement is, or is considered to be, material to patentability as defined in \$1.56.

$oxed{oxed}$	This sta	statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):								
		(1)	It is being filed within 3 months continued prosecution applicati OR	s of the application filing date and is other than a on under § 1.53(d)						
		(2)	It is being filed within 3 months	s of entry of a national stage						
	$[\times]$	(3)		date of the first Office Action on the merits						
		(4)		ng of a first Office Action after the filing of a request er § 1.114.						
	37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:									
		a certification as specified in §1.97(e) is provided below; or								
		a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.								
	37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:									
	A.	a certification as specified in §1.97(e) is completed below; and								
	B.	a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and								
	C.	a fee of \$130.00 as set forth in \$1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.								
€	Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. <u>08-1641</u> (Docket No. <u>39780-2630P1C10</u> ).									
				Respectfully submitted,						
				HELLER EHRMAN WHITE & MCAULIFFE LLP						
Dated:	- 1		. , , , ,	By:Ginger R. Dreger, Reg. No. 33.055						

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	ATION DISCL TATEMENT	OSURE	ATTY. DOCKET NO. SERIAL NO. 39780-2630P1C10 09/978,194  APPLICANT Ashkenazi et al.				
	PTO-1449		FILING DATE 10/15/01 C		JROUP: 1646		
		U.	S. PATENT DOCUMEN	TS			y
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILIN	G DATE
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						130	)
		FOR	REIGN PATENT DOCUME	NTS		<u> </u>	·
EXAMINER'S	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	FRANSLATION	
INITIALS						YES	NO
	WO 99 33938	07 08 99	PCT	C12N	15/12		
	Hillier 1 et al (9), pp. 807-82 NCI-CGAP w (CGAP), Tumo	"Generation 8 (1996), Gen ww.ncbi.nlm. or Index, GenE	(Including Author, Title, Da and Analy as of 280,000 Ham Bank Accession No.: AA1508 mili.gov neiggap, "National Ca Bank Accession No.: AA5282; Integration of the Analysis of 2), pp. 263-272 (1995), GenBa	nun Expressed S 956. ancer Institute, C 52, est. 05 Aug f the Human Ge	equence Taz (*)  Cancer Genome 1997, unpublishe  nome and It's Ex	Anatomy ed. xpression	Project
EXAMINER			DATE CONSIDERED				·

LXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.